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PATENT 04.6.

IN THE UNITED STATES CATENAND TRADEMARK OFFICE

In application of:

Needham et al.

Attorney Docket No.: CM03852H

Serial No.:

10/027,488

Art Unit: 2661

Filing Date:

December 20, 2001

Examiner: Unassigned

For:

METHOD AND APPARATUS FOR CDMA-DISPATCH SOFT HANDOFF

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INFORMATION DISCLOSURE STATEMENT (IDS)

Assistant Commissioner For Patents Washington, D.C. 20231

SIR:

p., -

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office.

I. <u>COPIES</u>

a. A legible copy of (i) each U.S. and foreign patents; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith.

b. Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120:

U.S. Serial Number

U.S. Filing Date

| II. | CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) | | | | | | | | |
|--------|---------------------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------|--------------------------------------|--|--|--|--|--|
| | a. 🛛 | Except as may be indicated | ated below in (b) of this section | on, all of the patents, publications | | | | | |
| | | or other information are | in the English language (cor | ncise explanation not required). | | | | | |
| | b. A concise explanation of the relevance of all patents, publications or other | | | | | | | | |
| | | information listed that is not in the English language is as follows: | | | | | | | |
| | c. 🗌 | The following additiona | l information is provided for | the Examiner's consideration: | | | | | |
| III. 🛛 | | | LATED APPLICATION(S | | | | | | |
| | | | · | application(s) contain(s) subject | | | | | |
| | | | | bringing this (these) applications | | | | | |
| | to the | Examiner's attention | , Applicant(s) does(do) | not waive the confidentiality | | | | | |
| | provisi | ions of 35 U.S.C. §122. | | | | | | | |
| | Serial | No. | Filing Date | Art Unit | | | | | |
| | 09/588 | 3.262 | 06/06/00 | 2745 | | | | | |
| | 09/065 | • | 04/23/98 | 2663 | | | | | |
| | | | | | | | | | |
| | | | <u>FEES</u> | | | | | | |
| IV. 🛛 | THIS | IDS IS BEING FILED U | JNDER 37 C.F.R. §1.97(b): | (check one box) | | | | | |
| | a. 🔀 | within three months | of the filing date of a n | national application (37 C.F.R. | | | | | |
| | | §1.97(b)(1)). No fee o | r statement is required. | | | | | | |
| | b. | within three months of | the date of entry of the nati | onal stage as set forth in § 1.491 | | | | | |
| | | in an international app | plication (37 C.F.R. §1.97) | (b)(2)). No fee or statement is | | | | | |
| | | required. | | | | | | | |
| | c. 🔲 | before the mailing d | ate of a first Office Act | ion on the merits (37 C.F.R. | | | | | |
| | | §1.97(b)(3)). No fee o | r statement is required. | | | | | | |
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| | | consider this IDS unde | er 37 C.F.R. §1.97(c) and se | ee the statement under 37 C.F.R. | | | | | |
| | | §1.97(e) provided belo | w, or if no statement has be | en made, charge deposit account | | | | | |
| | | 13-4771 the fee set for | th in 37 C.F.R. §1.17(p). | | | | | | |
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| | | the mailing date of either a Final Office Action under 37 C.F.R. §1.113 (See 37 §1.97(c)), or a Notice of Allowance under 37 C.F.R. §1.311 (See 37 C.F.R. c)). No statement; therefore, charge deposit account 13-4771 the fee set forth in 37 C.F.R. §1.17(p). |
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| | b. 🗌 | See the statement below. No fee is required. |
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| | 2) | Applicant(s) hereby a petition for consideration of this information disclosure statement; and |
| | 3) | charge deposit account 13-4771 the petition fee set forth in §1.17(i). |
| VII. | | nent under 37 C.F.R. §1.97(e) (check only one box, if applicable) adersigned hereby states that |
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| | | a foreign Patent Office is a counterpart foreign application not more than three months prior to the filing of IDS; or |
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| | | C.F.R. 1.56(c) more than three months prior to the filing of this statement, or |
| | c. | some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 |
| | | C.F.R. 1.56(c) more than three months prior to the filing of this statement. |

| VIII. | <u>PAYN</u> | MENT OF FEES | |
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| | | A check in the amount of | is enclosed for the above-identified fee(s). |
| | | Please charge Deposit Account No. 13 | 3-4772 in the amount of \$180.00 for the |
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| | It is A | Applicants' aninion that the claims pres | ently on file patently distinguish the present |
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| | | | ove references are being cited only in the |
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| matter | which | anticipates the invention or which wou | ald render the same obvious, either singly or |
| in a co | ombinati | tion, to a person or ordinary skill in the a | art. |
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| - | | ee to Deposit Account No. 13-4772. | (with a position if necessary) and charge the |
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| | | ī | Respectfully submitted, |
| | | | Michael L. Needham et al. |
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| | | _ | Sfry C Jack |
| | | J | lettrey K. Jacobs |
| | OROLA | | Agent for Applicant(s) |
| Custo | mer Nui | | Reg. No. 44,798 |
| Enclos | siires. | □ PTO/SB/08 | Tel. (847) 576-5562 |
| Literos | sures. | References | |
| | | Foreign Search Report | • |
| | | Other: | |

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

(use as many sheets as necessary)
Sheet 1

| DEMAIL | Complete if Known | |
|------------------------|-------------------|---|
| Application Number | 10/027,488 | |
| Filing Date | December 20, 2001 | _ |
| First Named Inventor | Needham et al. | |
| Group Art Unit | 2661 | |
| Examiner Name | Unknown | |
| Attorney Docket Number | CM03852H | |

| | | - | | U. S. PATENT DOCUMENT | rs | |
|-----------------------|--------------------------|----------------------|----------------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner Initials* | Cite No. ¹ | U.S. Paten Number | t Document Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | 6,005,848 | | Grube et al. | 12/21/99 | |
| | | 6,333,921 | B1 | Grube et al. | 12/25/01 | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
|-----------|--------------------------|---------------------|---------------------|---------------------|-------------|-----------------------------|------------------------------------------|-------------------------------------------------------|----------------|
| Examiner | Cite | | | | | Name of Patentee or Date of | | Pages, Columns, Lines, | T ⁶ |
| Initials* | No. ¹ | Office ³ | Number ⁴ | Kind Co (if know | | Cited | Publication of Cited Document MM-DD-YYYY | Where Relevant Passages or Relevant Figures Appear | |
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| Examiner | Date | |
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¹ Unique citation designation number. ² See Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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| Substitute for | form 1449A/PTO | | . 1 | & TRANSBAR | Complete if Known | |
| | | | | Application Number | 10/027,488 | |
| INFORMA | ATION DISCLO | OSURE | | Filing Date | December 20, 2001 | |
| STATEME | ENT BY APPLI | CANT | | First Named Inventor | Needham et al. | |
| | | | | Group Art Unit | 2661 | |
| (use as many s | heets as necessary) | | | Examiner Name | Unknown | |
| Sheet 2 of 2 | | | | Attorney Docket Number | CM03852H | |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--|--|
| Examiner Initials* | Cite No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | |
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